



**Level 5 Award in Understanding the Management of
Physical and Cyber Asset Security
in the Water and Environmental Industries**

Qualification Specification

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Introduction

The **Level 5 Award in Understanding the Management of Physical and Cyber Asset Security in the Water and Environmental Industries** is aimed at candidates who wish to demonstrate their knowledge and understanding of Innovation within the Water and Environmental Industries.

The Regulated Qualifications Framework (RQF) is the single framework for regulated qualifications, the regulatory body for this qualification is the Office of Qualifications and Examinations Regulation (Ofqual). This qualification is accredited onto the RQF.

Qualification Profile

Qualification title	ProQual Level 5 Award in Understanding the Management of Physical and Cyber Asset Security in the Water and Environmental Industries
Ofqual qualification number	603/4172/5
Level	Level 5
Total qualification time	20 hours
Credits	2 credits
Guided learning hours	16
Assessment	Pass or fail Assessed and verified by centre staff External quality assurance by ProQual verifiers
Qualification start date	25/2/2019
Qualification end date	

Entry Requirements

There are no formal entry requirements for this qualification. Centres should carry out an **initial assessment** of candidate skills and knowledge to identify any gaps and help plan the assessment.

Qualification Structure

To achieve the qualification candidates must complete ONE Mandatory unit.

Unit Reference Number	Unit Title	Credits	Unit Level	GLH
D/617/4767	Understanding the Management of Physical and Cyber Asset Security in the Water and Environmental Industries	2	5	16

Centre Requirements

Centres must be approved to offer this qualification. If your centre is not approved please complete and submit form **ProQual Additional Qualification Approval Application**.

Staff

Staff delivering this qualification must be appropriately qualified and occupationally competent.

Assessors/Internal Quality Assurance

For each competence-based unit centres must be able to provide at least one assessor and one internal quality assurance verifier who are suitably qualified for the specific occupational area. Assessors and internal quality assurance verifiers for competence-based units or qualifications will normally need to hold appropriate assessor or quality assurance verifier qualifications, such as:

- ProQual Level 3 Certificate in Teaching, Training and Assessing
- Award in Assessing Competence in the Work Environment
- Award in Assessing Vocationally Related Achievement
- Certificate in Assessing Vocational Achievement
- Award in the Internal Quality Assurance of Assessment Processes and Practices
- Certificate in Leading the Internal Quality Assurance of Assessment Processes and Practices

Support for Candidates

Materials produced by centres to support candidates should:

- enable them to track their achievements as they progress through the learning outcomes and assessment criteria;
- provide information on where ProQual's policies and procedures can be viewed;
- provide a means of enabling Internal and External Quality Assurance staff to authenticate evidence

Assessment

Candidates must demonstrate the level of knowledge and/or skills described in the units. Assessment is the process of measuring a candidate's knowledge and understanding against the standards set in the qualification.

Each candidate is required to produce evidence which demonstrates their achievement of all of the learning outcomes and assessment criteria for each unit.

Evidence could include:

- observation report by assessor
- assignments/projects/reports
- professional discussion
- witness testimony
- record of oral and written questioning
- Recognition of Prior Learning

Learning outcomes set out what a candidate is expected to know, understand or be able to do. **Assessment criteria** specify the standard a candidate must meet to show the learning outcome has been achieved.

Learning outcomes and assessment criteria for this qualification can be found from page 7 onwards.

To achieve this qualification all candidates must produce evidence which demonstrates their achievement of all of the assessment criteria.

There must be valid, authentic and sufficient for all the assessment criteria. However, one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion.

Simulations are permitted where candidates, during the course of their qualification, are not able to provide evidence from naturally occurring events.

Internal Quality Assurance

An internal quality assurance verifier confirms that assessment decisions made in centres are made by competent and qualified assessors, that they are the result of sound and fair assessment practice and that they are recorded accurately and appropriately.

Adjustments to Assessment

Adjustments to standard assessment arrangements are made on the individual needs of candidates. ProQual's Reasonable Adjustments Policy and Special Consideration Policy sets out the steps to follow when implementing reasonable adjustments and special considerations and the service that ProQual provides for some of these arrangements. Centres should contact ProQual for further information or queries about the contents of the policy.

Results Enquiries and Appeals

All enquiries relating to assessment or other decisions should be dealt with by centres, with reference to ProQual's Enquiries and Appeals Procedures.

Certification

Candidates who achieve the requirements for qualifications will be awarded:

- A certificate listing the units achieved, and
- A certificate giving the full qualification title -

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Claiming certificates

Centres may claim certificates for candidates who have been registered with ProQual and who have successfully achieved the requirements for a qualification. All certificates will be issued to the centre for successful candidates.

Unit certificates

If a candidate does not achieve all of the units required for a qualification, the centre may claim a unit certificate for the candidate which will list all of the units achieved.

Replacement certificates

If a replacement certificate is required a request must be made to ProQual in writing. Replacement certificates are labelled as such and are only provided when the claim has been authenticated. Refer to the Fee Schedule for details of charges for replacement certificates.

Learning Outcomes and Assessment Criteria

Unit D/617/4767

Understanding the Management of Physical and Cyber Asset Security in the Water and Environmental Industries

Learning Outcome - The learner will:	Assessment Criterion - The learner can:
1 Understand the legal and regulatory requirements and limitations of asset security	1.1 Describe the Legal and Regulatory requirements relevant to the security of sector assets
2 Understand the principles of asset security	2.1 Describe the principles of asset security in relation to the organisation
3 Be able to define the range of potential risks associated with water and environmental industry assets	3.1 Identify and review the range of physical and cyber security risk associated with sector assets
4 Understand the requirement for risk assessment in relation to asset security	4.1 Explain the purpose of a risk assessment of asset security 4.2 Define the key components of an asset risk assessment 4.3 Understand key legislation relevant to risk assessment of asset security 4.4 Identify the type of assets for which a risk assessment is appropriate 4.5 Identify key factors of risk assessment to prevent a breach in asset security
5 Understand the requirement for effective risk management	5.1 Define the purpose of asset risk management 5.2 Explain the requirement for a business continuity plan 5.3 Explain the key features of an effective business continuity plan 5.4 Explain the purpose of a contingency plan 5.5 Identify the key features of an effective contingency plan 5.6 Explain the purpose of a disaster recovery plan 5.7 Identify the key features of an effective disaster recovery plan 5.8 Develop an effective contingency plan to support business continuity
6 Understand appropriate escalation processes specific to risk management	6.1 Evaluate the importance for an effective escalation process 6.2 Identify the key features of an effective escalation process
7 Understand the principles of physical asset security	7.1 Explain the purpose of physical security

Learning Outcome - The learner will:	Assessment Criterion - The learner can:
	7.2 Identify physical risks to security 7.3 Identify systems and processes that ensure effective physical security 7.4 Identify key legislation associated with physical security 7.5 Explain the term 'insider threat'
8 Understand the principles of cyber asset security	8.1 Explain the requirement for effective cyber security 8.2 Identify the range of cyber security risks 8.3 Identify key legislation associated with cyber security 8.4 Explain the motives and methodologies adopted by cyber criminals 8.5 Explain systems and processes that ensure effective cyber security
9 Understand how to engage with stakeholders to support and improve asset security	9.1 Review the potential asset risks and describe how they would engage with appropriate stakeholders to support the asset security aims of the organisation

Assessment

There must be valid, authentic and sufficient for all the assessment criteria. However, one piece of evidence may be used to meet the requirements of more than one learning outcome or assessment criterion.



www.proqualab.com

enquiries@proqualab.com

Tel: +44 (0)1430 423822

ProQual AB Limited, ProQual House, Westbridge Court, Annie Med Lane, South Cave HU15 2HG
Company Registration Number: 07464445